

Tracking which types are principally known in OCaml

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① Principality, definition and use in OCaml

② Annotating types with levels

③ How to use levels for principality

④ What about modular implicits ?

- ① Principality, definition and use in OCaml
- ② Annotating types with levels
- ③ How to use levels for principality
- ④ What about modular implicits ?

What is principality ?

```
> ocaml --help
Usage: ocaml <options> <files>
Options are:
  ...
  -principal    Check principality of type inference
  -no-principal Do not check principality of type inference (default)
  ...
```

What is principality ?

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Usage: ocaml <options> <files>
Options are:
  ...
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  ...
```

A principal typing in S for a term M is a typing for M which somehow represents all other possible typings in S for M

J. B. Wells

An example of principal type

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let id = fun x → x
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When seing this function we could infer different types for it :

- `int → int`
- `unit → unit`

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- `'a → 'a`

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Top first	Bottom first
$x = y \Rightarrow x : \langle m : 'a. 'a \rightarrow 'a \rangle$ $x\#m\ 3 \Rightarrow$ principality warning	$x\#m\ 3 \Rightarrow x : \langle m : int \rightarrow 'b \rangle$ $x = y \Rightarrow$ Fails

The type of x was not principal when typing x#m 3.

Principality warning with constructors

```
type 'a ta = C of 'a | A  
type tb = C of int | B
```

```
let id x =  
  let _ = C x in x
```

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What is the inferred type of `id` ?

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(* val id : int -> int *)
let id x =
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type 'a ta = C of 'a | A
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let id x =
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Principality with labels

```
let foo (f : a:int → b:int → int) : int = ...

(* val bar : (a:int → b:int → int) → int *)
let bar f =
  foo f + f ~b:1 ~a:2
```


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```
let foo (f : a:int → b:int → int) : int = ...

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- Left to right ⇒ warning
- Right to left ⇒ error

Principality with first-class modules

```
(* val foo : ((module S) → 'a) → 'a * 'a *)  
let foo bar =  
  (bar (module M1 : S),  
   bar (module M2))
```

Principality with first-class modules

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Types have levels

```
type int = 0 | S of int
```

```
type bool = True | False
```

```
let foo x =  
  let bar (y :  $\_ \rightarrow \_$ ) z = (z, [x; y]) in  
  bar x
```

Types have levels

*int*¹

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let foo x =  
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Introducing int

Types have levels

*int*¹ *bool*²

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Introducing bool

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let foo x =  
  let bar (y : _ → _) z = (z, [x; y]) in  
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→ ?³

Introducing x

Types have levels

int^1 $bool^2$

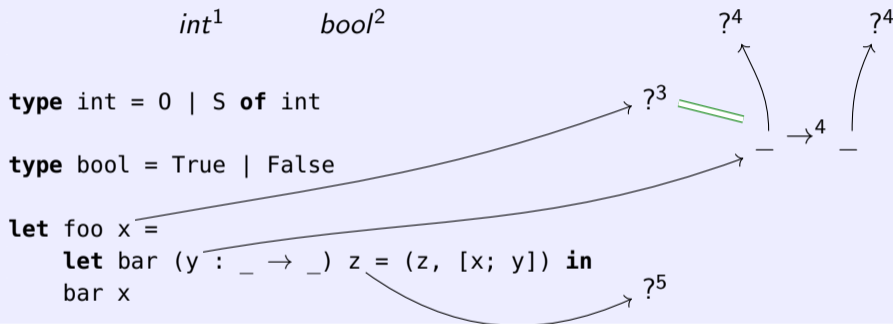
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type int = 0 | S of int
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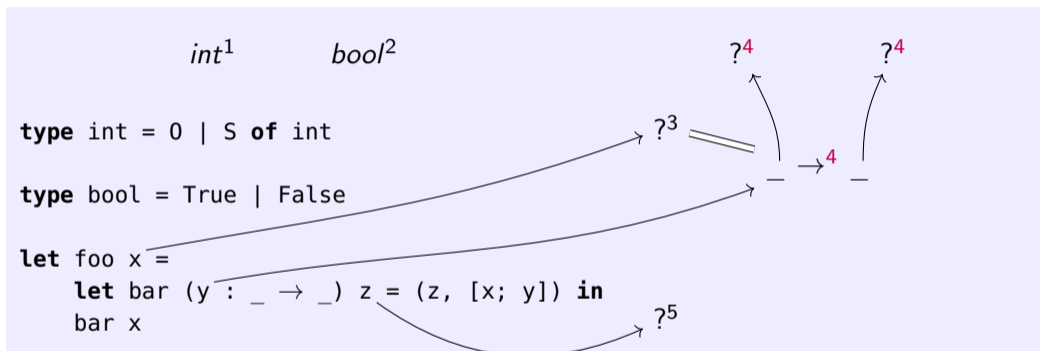
Introducing y and z

Types have levels



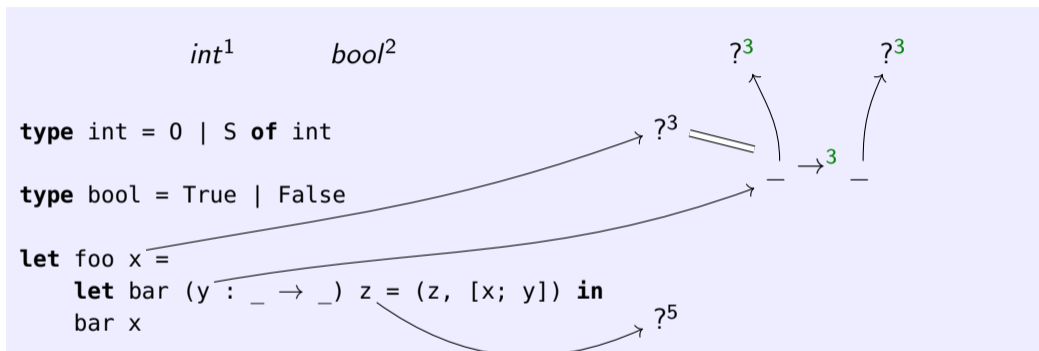
Typing [x; y]

Types have levels



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int^1 $bool^2$

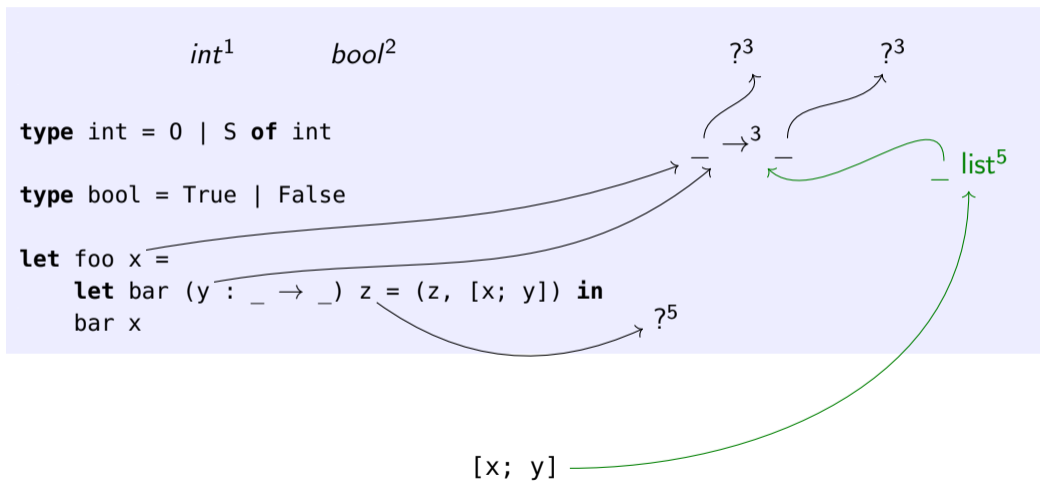
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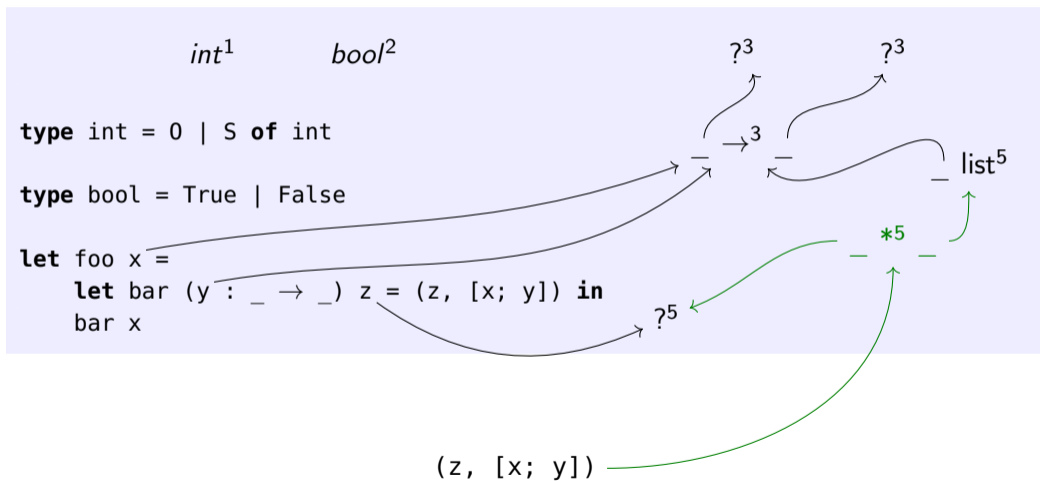
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Typing $[x; y]$

Types have levels



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*int*¹ *bool*²

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type int = 0 | S of int
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*list*⁵

fun z -> (z, [x; y])

Types have levels

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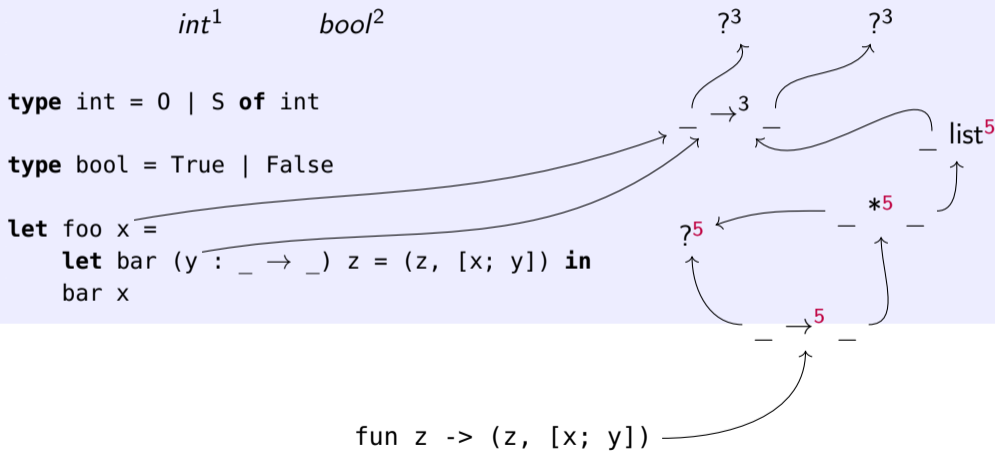
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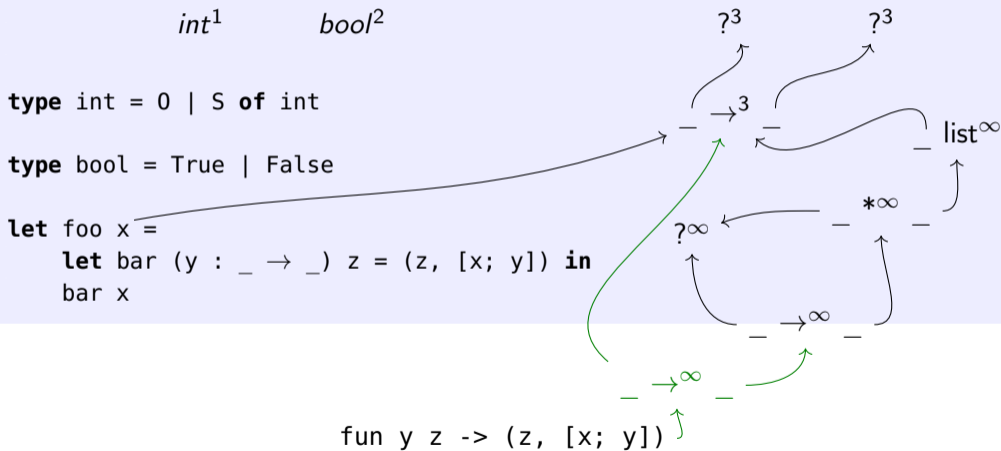
The diagram illustrates the level propagation for the provided OCaml code. It shows the following annotations and relationships:

- `int` is annotated with level 1 (int^1).
- `bool` is annotated with level 2 ($bool^2$).
- The lambda function `fun z -> (z, [x; y])` is annotated with level 5.
- The lambda function's return type is annotated with `?3` and `3`.
- The lambda function's argument type is annotated with `?5` and `5`.
- The `list` type is annotated with level 5 (`list5`).
- Arrows indicate the flow of level information from the lambda function to the `int` and `bool` types, and from the lambda function to the `list` type.

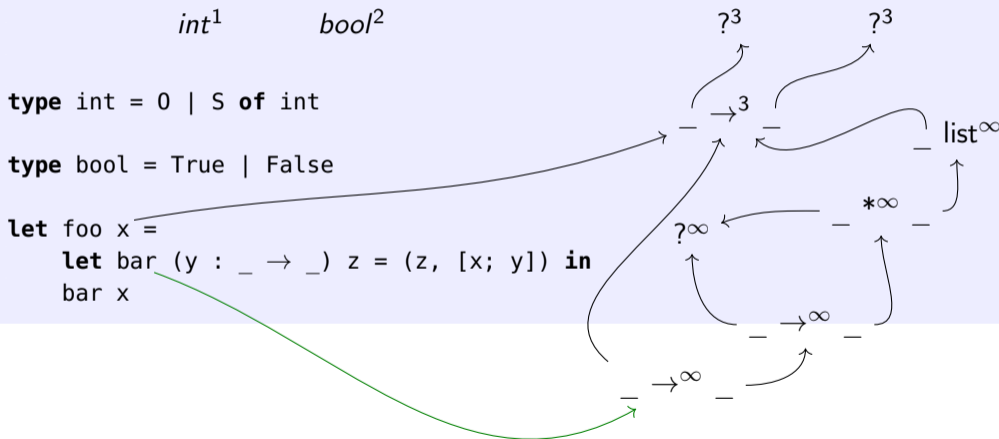
Types have levels



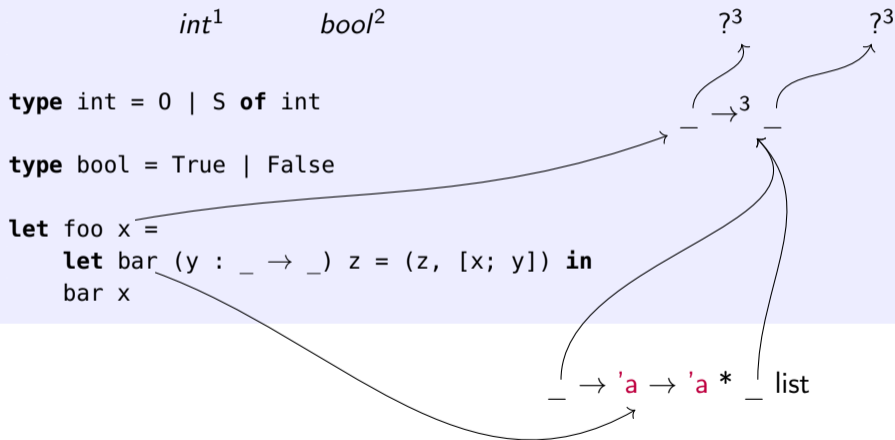
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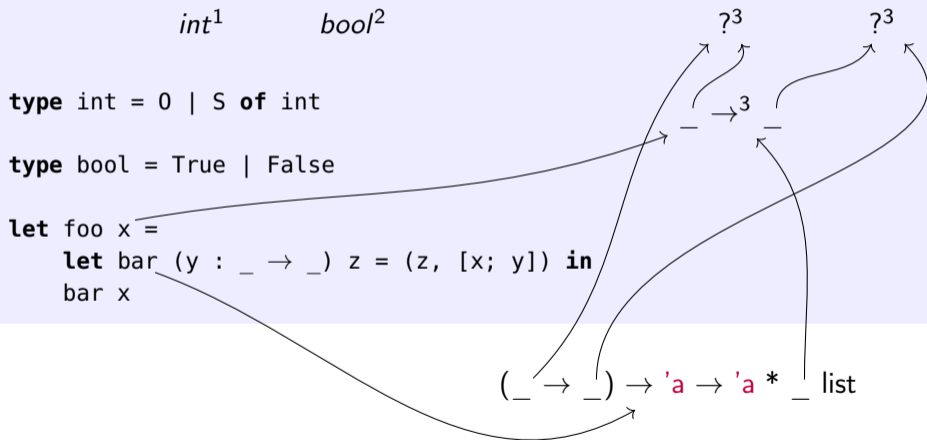
Types have levels



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Important notice :

- Allows easy error detection/reporting :

```
let f x (type a) (y : a) = [x; y]
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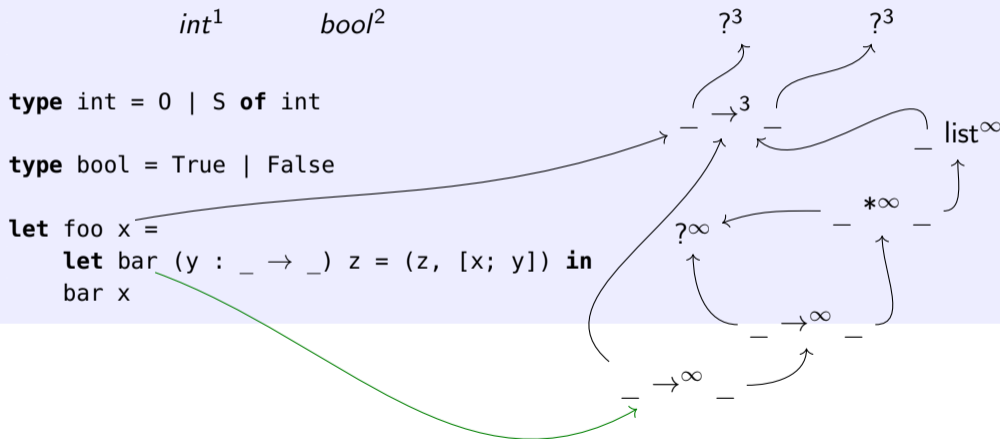
- Allows easy error detection/reporting :

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- Also works with GADTs !

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Types have levels



Types have levels

```

    int1    bool2

type int = 0 | S of int

type bool = True | False

let foo x =
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  bar x
  
```


What could be a non principal type in OCaml ?

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let f x (y : < m : 'a. 'a -> 'a >) =
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The type of x was not principal when typing $x\#m\ 3$, because the level of $.$ is not ∞ .

What about y ?

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let f (y : <m : 'a. 'a → 'a>) =  
  y#m 3
```

Does this code raise a warning ?

What about y ?

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let f (y : <m : 'a. 'a → 'a>) =  
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```

Does this code raise a warning ?

No, because

```
y : <m : 'a.∞ 'a ->∞ 'a>∞
```

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- Labelled arguments
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- First-class modules
- Modular implicits (?)

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implicit module PInt = struct ... end
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implicit module PList (X : Print) = struct ... end
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let () =
  print 3;
  print [1; 2; 3];
  print "Hello world\n"
```

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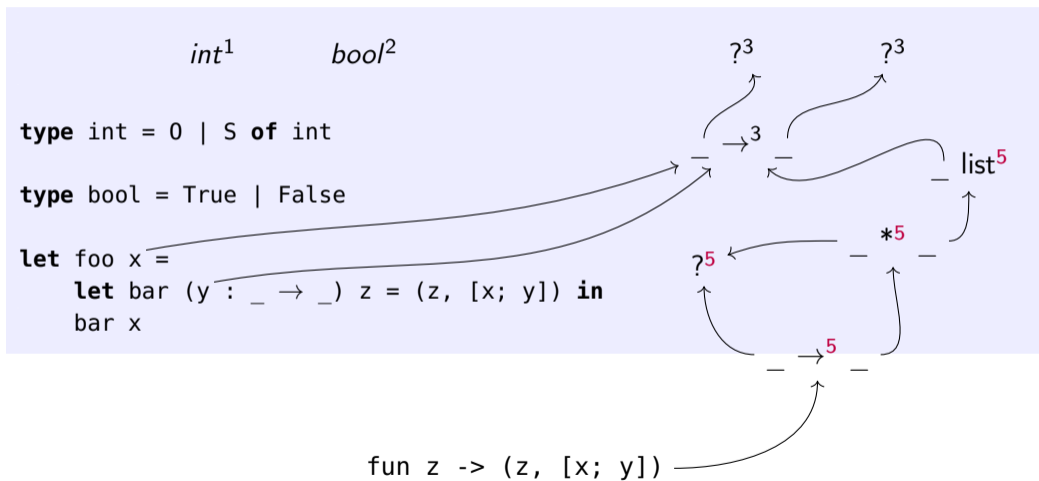
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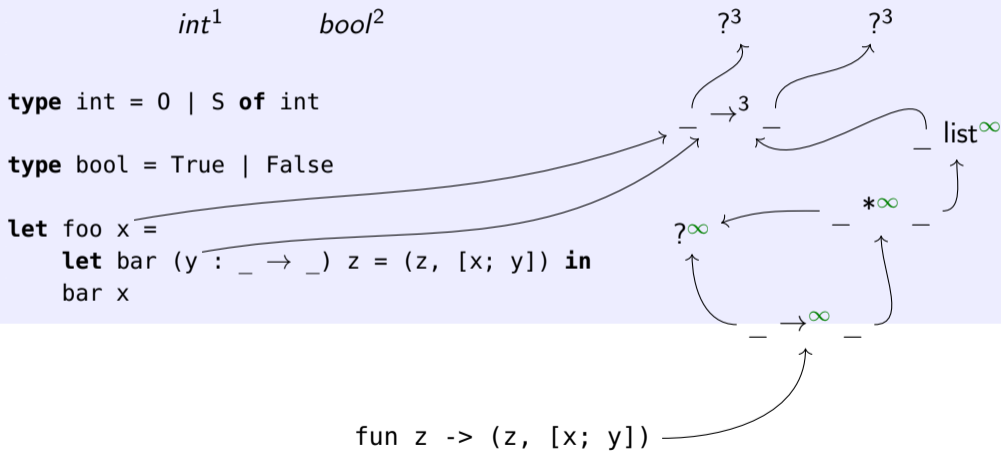
- Code generated based on types
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Current principality tracing in OCaml cannot handle such a feature.

Types have levels



Types have levels



What if we didn't want types to become principal

```
module type Default = sig type t val d : t end
```

```
let default {D : Default} () = D.d
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What if we didn't want types to become principal

```
module type Default = sig type t val d : t end

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implicit module M = struct
  type t = a:int → b:int → int
  let d = ...
end
```

What if we didn't want types to become principal

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module type Default = sig type t val d : t end

let default {D : Default} () = D.d

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  let d = ...
end

(* val f : a:int → b:int → int *)
let f = default ()
```

What if we didn't want types to become principal

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module type Default = sig type t val d : t end

let default {D : Default} () = D.d

implicit module M = struct
  type t = a:int → b:int → int
  let d = ...
end

(* val f : a:int → b:int → int *)
let f = default ()

(* val _ : int *)
let _ = f ~b:2 ~a:1
```

A fix ?

Proposal : add a boolean saying whether this type is or can become principal.

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- False \Rightarrow this type is too fragile to be relied on.

Already exists with labels.

```
(* val id : (a:int → b:int → 'a) → (a:int → b:int → 'b) *)  
let id f =  
  let _ f ~a:1 ~b:2 in f
```

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- True \Rightarrow this type was inferred in a satisfying way, thus it can be relied on.
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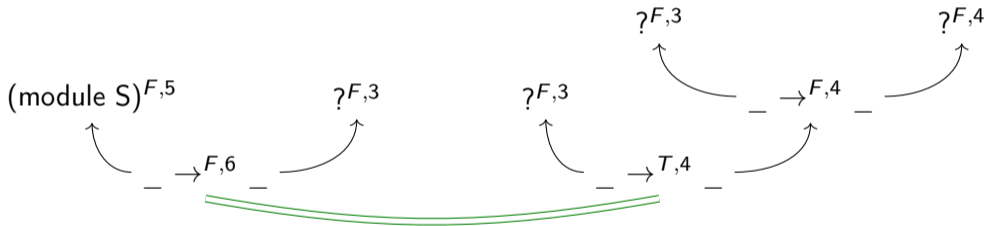
Already exists with labels.

```
(* val id : (a:int → b:int → 'a) → (a:int → b:int → 'b) *)  
let id f =  
  let _ f ~a:1 ~b:2 in f  
  
let fail f = id f ~b:1 ~a:1
```

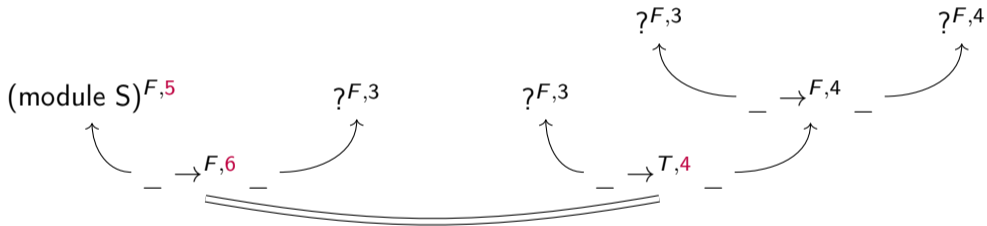
^^

Error: This **function** is applied **to** arguments
in an order different from other calls.
This is only allowed **when** the real **type** is known.

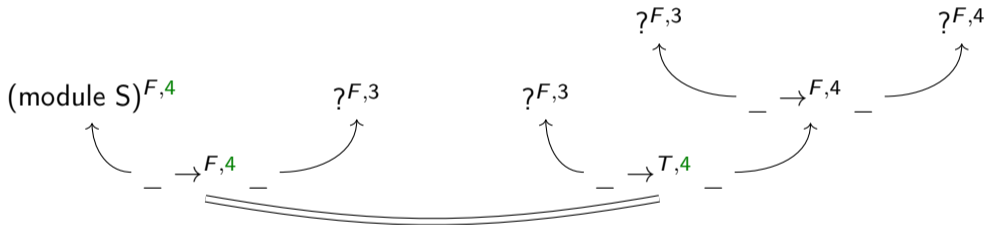
Unification with a boolean



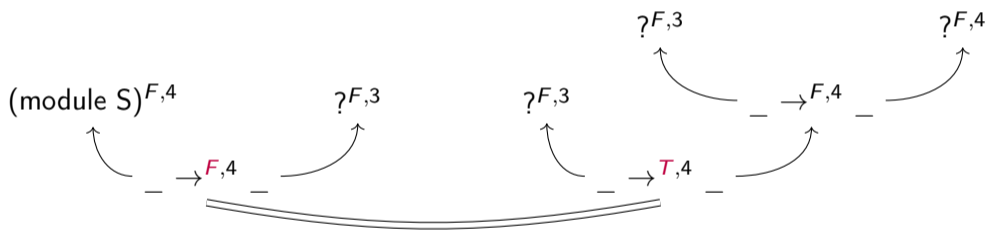
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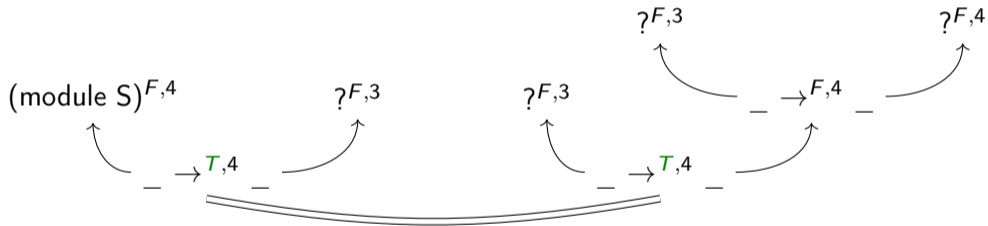
Unification with a boolean



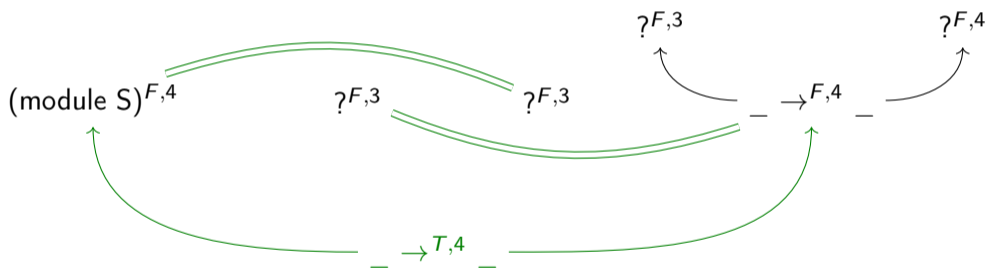
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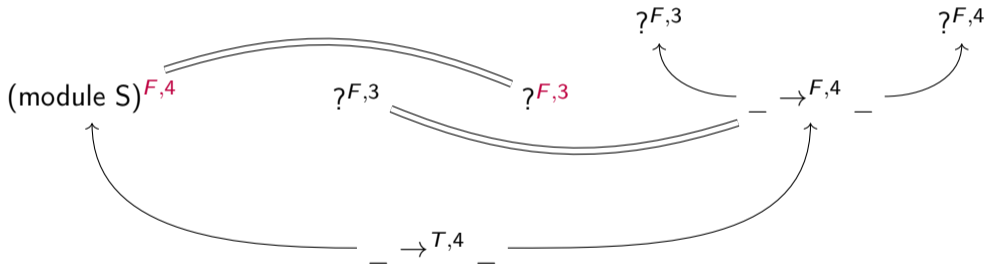
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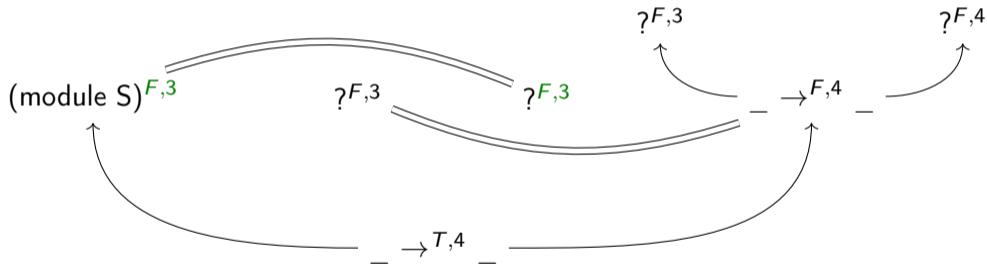
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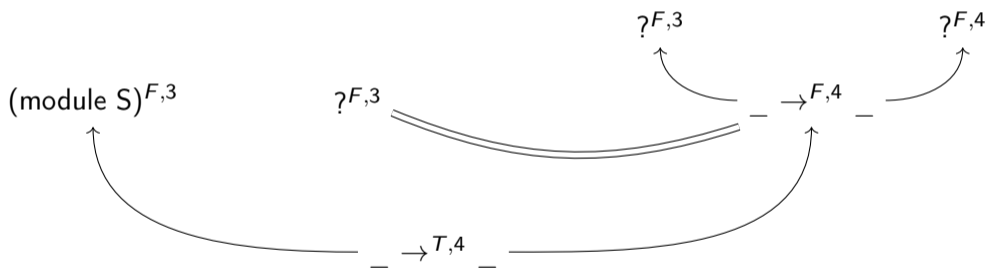
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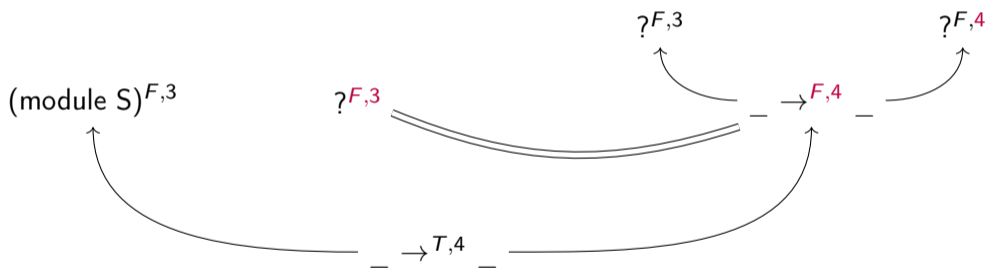
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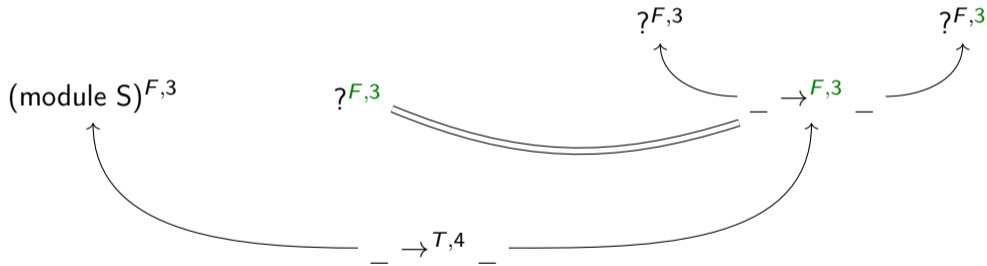
Unification with a boolean



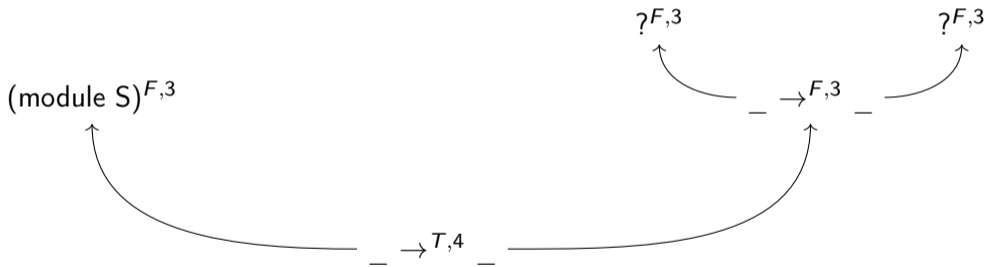
Unification with a boolean



Unification with a boolean



Unification with a boolean



Questions ?

Do you have any questions ?